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Attorney Docket No.: 015280-462100US Client Reference No.: E-121-2002/0-US-03

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on Jonuary 31, 2006

TOWNSEND and TOWNSEND and CREW LLP

By: Anna C. Kundel

FEB 0 2 2006 W

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SHOEMAKER et al.

Application No.: 10/528,747

Int'l App. No.: PCT/US03/32086

Int'l Filing Date: October 8, 2003

For: IDENTIFICATION OF ANTI-HIV COMPOUNDS INHIBITING VIRUS ASSEMBLY AND BINDING OF NUCLEOCAPSID PROTEIN TO NUCLEIC ACID

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: Not yet assigned

Art Unit: Not yet assigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

**§1.98** 

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Application No.: 10/528,747

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Also enclosed is a copy of the Search/Examination report corresponding to the PCT application.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted

Jean M. Lockyer, Ph.D. Reg. No. 44,879

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JML:ack 60682424 v1

PTO/SB/08A&B (07-05) Substitute for form 1449A&B/PTO Complete if Known Application Number 10/528,747 Filing Date INFORMATION DISCLOSURE STATEMENT BY APPLICANT First Named Inventor Shoemaker, Robert H. Art Unit Not yet assigned (Use as many sheets as necessary) Examiner Name Not yet assigned Attorney Docket Number 015280-462100US 1 2 Sheet

Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
, ., .	A1	US-4,476,314	10-09-1984	Kuriyama et al.	
***************************************	A2	US-5,041,576	08-20-1991	Wasfi	
	A3	US-6,001,555	12-14-1999	Henderson et al.	A
	A4	US-6,046,228	04-04-2000	Rice et al.	

FOREIGN PATENT DOCUMENTS									
Examiner	Cite No. <sup>1</sup>	Foreign Patent Document				N. (Balanta			
Initials*		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	A5	wo	97/44064	A2	11-27-1997	The Government of the United States as			
	A6	wo	99/65871	A2	12-23-1999	The Government of the United States as			
***************************************	A7	wo	02/062333	A1	08-15-2002	The Government of the United States as			
						·			

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	A8	RICE, W., et al., "Inhibition of HIV-1 infectivity by zinc-ejecting aromatic C-nitroso compounds," <u>Nature,</u> 1993, Vol. 361, pp. 473-475.			
	A9	RICE, W., et al., "Inhibitors of HIV Nucleocapsid Protein Zinc Fingers as Candidates for the Treatment of AIDS," <u>Science</u> , 1995, Vol. 270, pp. 1194-1197.			
	A10	TURPIN, J., et al., "Synthesis and Biological Properties of Novel Pyridinioalkanoyl Thiolesters (PATE) as Anti-HIV-1 Agents That Target the Viral Nucleocapsid Protein Zinc Fingers," <u>J. Med. Chem.</u> , 1999, Vol. 42, pp. 67-86.			
	A11	VERMEIRE, K., et al., "Anti-HIV agents targeting the interaction of gp120 with the cellular CD4 receptor," Expert Opin. Investig. Drugs, 2005, Vol. 14, No. 10, pp. 1199-1212.			

Examiner Signature		Date Considered	
Signature	·	00.10.00.00	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A&B (07-05)

Complete if Known

Application Number 10/528,747

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

PTO/SB/08A&B (07-05)

Complete if Known

Application Number 10/528,747

Filing Date

First Named Inventor Shoemaker, Robert H.

Art Unit Not yet assigned

Examiner Name Not yet assigned

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (bomagazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city		
	A12	YANG, Q, et al., "Discovery of Small-Molecule Human Immunodeficiency Virus Type 1 Early Inhibitors That Target the gp120-Binding Domain of CD4," <u>Journal of Virology</u> , May 2005, Vol. 79, No. 10, pp. 6122-6133.		

Attorney Docket Number

015280-462100US

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Examiner		Date	
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